Rule 10. Form of pleadings and other papers.

(a) Caption; names of parties; other necessary information.

(a)(1) All pleadings and other papers filed with the court shall-must contain a caption setting forth the name of the court, the title of the action, the file number, the name of the pleading or other paper, and the name, if known, of the judge (and commissioner if applicable) to whom the case is assigned. A party filing a claim for relief, whether by original claim, counterclaim, cross-claim or third-party claim, shall must include in the caption the discovery tier for the case as determined under Rule 26.

- (a)(2) In the complaint, the title of the action shall must include the names of all the parties, but other pleadings and papers need only state the name of the first party on each side with an indication that there are other parties. A party whose name is not known shall must be designated by any name and the words "whose true name is unknown." In an action in rem, unknown parties shall must be designated as "all unknown persons who claim any interest in the subject matter of the action."
- (a)(3) Every pleading and other paper filed with the court shall must state in the top left hand corner of the first page the name, address, email address, telephone number and bar number of the attorney or party filing the paper, and, if filed by an attorney, the party for whom it is filed.
- (a)(4) A party filing a claim for relief, whether by original claim, counterclaim, cross-claim or third-party claim, shall-must also file a completed cover sheet substantially similar in form and content to the cover sheet approved by the Judicial Council. The clerk may destroy the coversheet after recording the information it contains.
- (b) Paragraphs; separate statements. All statements of claim or defense shall must be made in numbered paragraphs. Each paragraph shall-must be limited as far as practicable to a single set of circumstances; and a paragraph may be adopted by reference in all succeeding pleadings. Each claim founded upon a separate transaction or occurrence and each defense other than denials shall-must be stated in a separate

count or defense whenever a separation facilitates the clear presentation of the matters set forth.

- (c) **Adoption by reference**; **exhibits.** Statements in a paper may be adopted by reference in a different part of the same or another paper. An exhibit to a paper is a part thereof for all purposes.
- (d) Paper format. A proposed document ready for signature by a court official must have a top margin of not less than 2 inches on the first page and must otherwise follow the format for all other pleadings and papers. All other pleadings and other papers, other than exhibits and court-approved forms, shall-must be 8½ inches wide x 11 inches long, on white background, with a top margin of not less than 2 inches, a right and left margin of not less than 1 inch and a bottom-margins of not less than one-half inch, with text or images only on one side. All text or images shall-must be clearly legible, shall must be double spaced, except for matters customarily single spaced, must be on one side only and shall-must not be smaller than 12-point size.
- (e) **Signature line.** The name of the person signing shall-must be typed or printed under that person's signature. If a paper is electronically-signed_filed, the paper shall must contain the typed or printed name of the signer with or without a graphic signature. If a proposed document ready for signature by a court official is electronically filed, the order must not include the official's signature line and must end with: "signature at top of first page."
- (f) **Non-conforming papers.** The clerk of the court shall must examine all pleadings and other papers filed with the court. If they are not prepared in conformity with paragraphs (a) (e), the clerk shall must accept the filing but may require counsel to substitute properly prepared papers for nonconforming papers. The clerk or the court may waive the requirements of this rule for parties appearing pro se. For good cause shown, the court may relieve any party of any requirement of this rule.
- (g) **Replacing lost pleadings or papers.** If an original pleading or paper filed in any action or proceeding is lost, the court may, upon motion, with or without notice, authorize a copy thereof to be filed and used in lieu of the original.

60	(h) No improper content. The court may strike and disregard all or any part of a
61	pleading or other paper that contains redundant, immaterial, impertinent or scandalous
62	matter.
63	(i) Electronic papers.
64	(i)(1) Any reference in these rules to a writing, recording or image includes the
65	electronic version thereof.
66	(i)(2) A paper electronically signed and filed is the original.
67	(i)(3) An electronic copy of a paper, recording or image may be filed as though it
68	were the original. Proof of the original, if necessary, is governed by the Utah Rules of
69	Evidence.
70	(i)(4) An electronic copy of a paper shall must conform to the format of the
71	original.
72	(i)(5) An electronically filed paper may contain links to other papers filed
73	simultaneously or already on file with the court and to electronically published
74	authority.

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Advisory Committee Notes

Rule 43. Draft: November 11, 2013

Rule 43. Evidence.

(a) **Form.** In all trials, the testimony of witnesses shall be taken orally in open court, unless otherwise provided by these rules, the Utah Rules of Evidence, or a statute of this state. All evidence shall be admitted which that is admissible under the Utah Rules of Evidence or other rules adopted by the Supreme Court shall be admitted.

(b) **Evidence on motions.** When If a motion is based on facts not appearing of outside the record, the court may hear the matter on affidavits, presented by the respective parties, but the court may direct that the matter be heard wholly or partly on oral declarations, testimony or depositions. If an affidavit is electronically filed, the party or the party's attorney shall keep the original until the proceedings are concluded. If the original is filed, the clerk of the court shall scan it and return it to the party or the party's attorney, who shall keep it until the proceedings are concluded.

Rule 74. Withdrawal of counsel.

- (a) Notice of withdrawal. An attorney may withdraw from the case by filing with the court and serving on all parties a notice of withdrawal. The notice of withdrawal shall include the address of the attorney's client and a statement that no motion is pending and no hearing or trial has been set. If a motion is pending or a hearing or trial has been set, an attorney may not withdraw except upon motion and order of the court. The motion to withdraw shall describe the nature of any pending motion and the date and purpose of any scheduled hearing or trial.
- (b) Withdrawal of limited appearance. An attorney who has entered a limited appearance under Rule 75 shall withdraw from the case by filing and serving a notice of withdrawal upon the conclusion of the purpose or proceeding identified in the Notice of Limited Appearance:
 - (b)(1) by filing and serving a notice of withdrawal; or
 - (b)(2) if permitted by the judge, by orally announcing the withdrawal on the record in a proceeding in which all parties are present or represented.

An attorney who seeks to withdraw before the conclusion of the purpose or proceeding shall proceed under subdivision (a).

- (c) Notice to Appear or Appoint Counsel. If an attorney withdraws other than under subdivision (b), dies, is suspended from the practice of law, is disbarred, or is removed from the case by the court, the opposing party shall serve a Notice to Appear or Appoint Counsel on the unrepresented party, informing the party of the responsibility to appear personally or appoint counsel. A copy of the Notice to Appear or Appoint Counsel must be filed with the court. No further proceedings shall be held in the case until 20 days after filing the Notice to Appear or Appoint Counsel unless the unrepresented party waives the time requirement or unless otherwise ordered by the court.
- (d) **Substitution of counsel.** An attorney may replace the counsel of record by filing and serving a notice of substitution of counsel signed by former counsel, new counsel and the client. Court approval is not required if new counsel certifies in the notice of substitution that counsel will comply with the existing hearing schedule and deadlines.

Rule 75. Limited appearance.

- (a) <u>Purposes.</u> An attorney acting pursuant to an agreement with a party for limited representation that complies with the Utah Rules of Professional Conduct may enter an appearance limited to one or more of the following purposes:
- (a)(1) filing a pleading or other paper;
- 6 (a)(2) acting as counsel for a specific motion;
- 7 (a)(3) acting as counsel for a specific discovery procedure;
- 8 (a)(4) acting as counsel for a specific hearing, including a trial, pretrial conference, or 9 an alternative dispute resolution proceeding; or
 - (a)(5) any other purpose with leave of the court.
 - (b) <u>Notice</u>. Before commencement of the limited appearance the attorney shall file a Notice of Limited Appearance signed by the attorney and the party <u>or</u>, <u>if permitted by the judge</u>, <u>orally announce the limited appearance on the record in a proceeding in which all parties are present or represented</u>. The Notice shall specifically describe the purpose and scope of the appearance and state that the party remains responsible for all matters not specifically described in the Notice. The clerk shall enter on the docket the attorney's name and a brief statement of the limited appearance. The Notice of Limited Appearance and all actions taken pursuant to it are subject to Rule 11.
 - (c) <u>Motion to clarify.</u> Any party may move to clarify the description of the purpose and scope of the limited appearance.
 - (d) Party remains responsible. A party on whose behalf an attorney enters a limited appearance remains responsible for all matters not specifically described in the Notice.

List of Deadline Changes in Conjunction with New Rule 6.

Rule	Change	То	Rule	Change	То	Rule	Change	То
3(a)	10	14	59(b)	10	14	74(c)	20	21
4(c)(2)	13	14	59(c)	10	14	101(b)	Delete "cal	endar"
4(f)(1)	20	21	59(c)	20	21	101(c)	5	7
5(b)(1)(B)	5	7	59(d)	10	14			
7(c)(1)	5	7	59(e)	10	14			
7(c)(1)	10	14	60(b)	3 months	90			
7(f)	15	21	62(a)	10	14			
7(f)	5	7	63(b)(1)(B)	20	21			
12(a)	20	21	63(b)(1)(B)(iii)	20	21			
12(a)(1)	10	14	64(d)(3)(C)	10	14			
12(a)(2)	10	14	64(d)(3)(D)(ii)	10	14			
12(e)	10	14	64(e)(2)	10	14			
12(f)	20	21	64(f)(1)	5	7			
14(a)	10	14	64A(i)(5)	10	14			
15(a)	20	21	64D(g)	7	14			
15(a)	10	14	64D(h)	10	14			
17(c)(2)	20	21	64D(i)	20	21			
17(c)(3)	20	21	64(D)(I)(3)	7	14			
27(a)(2)	20	21	64E(d)(1)	10	14			
31(a)(4)	7	14	65A(b)(2)	10	14			
38(b)	10	14	65C(g)(3)	20	21			
38(c)	10	14	65C(i)	Delete "plus	time"			
50(b)	10	14	65C(m)(1)	5	7			
50(c)(2)	10	14	66(f)	10	14			
52(b)	10	14	68(c)(3)	10	14			
53(d)(1)	20	21	68(c)(4)	10	14			
53(e)(2)	10	14	69C(f)	20	21			
54(d)(2)	5	14	69C(f)	7	14			
54(d)(2)	7	14	69C(i)(2)	5	7			
56(a)	20	21	69C(i)(2)	15	21			

Tab 3

Principles of Rulemaking

(1) Certainty

The rules should provide a predictable process.

(2) Clarity

The rules should be written using plain language principles, adopting the federal style amendments when appropriate.

(3) Comprehensiveness

The rules should include all procedures to avoid unwritten rules.

(4) Consistency

The rules should be internally consistent. There is value to state rules that conform to the federal rules. Lawyers practicing in both courts benefit from a uniform procedure. The state courts can rely on a large body of federal caselaw. The state rules should establish procedures different from the federal rule only when there is a sound reason for doing so.

(5) Improvement

An amendment should solve an identifiable problem.

(6) Input

Before the 45-day comment period, the committee will try to obtain comments and suggestions from lawyers and judges who might be particularly affected by an amendment. The committee will consider all comments.

(7) Priority

The committee will assign a priority level to each request to amend the rules. Requests from the legislature and supreme court will take priority over other priorities. Within a priority level, the committee will consider the requests in the order in which they are made, unless combining requests will better address the matter.

(8) Simplicity

The process established by the rule should reach its outcome as simply as possible while allowing every party an equitable opportunity to investigate and present its case. Exceptions and options should be limited and clearly stated.

(9) Stability

The rules should not be amended unless there is a need.

Tab 4

1 Rule 37. Discovery and disclosure motions; Sanctions. 2 (a) Motion for order compelling disclosure or discovery; motion for protective 3 order. 4 (a)(1) A party may move to compel disclosure or discovery and for appropriate 5 sanctions if another party: 6 (a)(1)(A) fails to disclose, fails to respond to a discovery request, or makes an 7 evasive or incomplete disclosure or response to a request for discovery: 8 (a)(1)(B) fails to disclose, fails to respond to a discovery request, fails to 9 supplement a disclosure or response or makes a supplemental disclosure or 10 response without an adequate explanation of why the additional or correct 11 information was not previously provided; 12 (a)(1)(C) objects to a discovery request; 13 (a)(1)(D) impedes, delays, or frustrates the fair examination of a witness; or 14 (a)(1)(E) otherwise fails to make full and complete disclosure or discovery. 15 (a)(2) A party or the person from whom disclosure is required or discovery is 16 sought may move for an order of protection. 17 (a)(3) A motion may be made to the court in which the action is pending, or, on 18 matters relating to a deposition or a document subpoena, to the court in the district 19 where the deposition is being taken or where the subpoena was served. A motion for 20 an order to a nonparty witness shall be made to the court in the district where the 21 deposition is being taken or where the subpoena was served. 22 (a)(3) The moving party must attach a copy of the request for discovery, the 23 disclosure, or the response at issue. The moving party must also attach a 24 certification that the moving party has in good faith conferred or attempted to confer 25 with the other affected parties in an effort to secure the disclosure or discovery 26 without court action and that the discovery being sought is proportional under Rule 27 26(b)(2). 28 (b) Motion for protective order. 29 (b)(1) A party or the person from whom disclosure is required or discovery is sought may move for an order of protection. The moving party shall attach to the 30

31	motion a copy of the request for discovery or the response at issue. The moving
32	party shall also attach a certification that the moving party has in good faith
33	conferred or attempted to confer with other affected parties to resolve the dispute
34	without court action.
35	(b)(2) If the motion raises issues of proportionality under Rule 26(b)(2), the party
36	seeking the discovery has the burden of demonstrating that the information being
37	sought is proportional.
38	(b) Expedited procedures for discovery motions. A motion under Rule 26 for
39	extraordinary discovery or a motion under Rule 45 to quash a subpoena must follow the
40	procedures of this paragraph. A motion under this rule for a protective order or for an
41	order compelling disclosure or discovery—but not a motion for sanctions—must follow
42	the procedures of this paragraph.
43	(b)(1) Motion length and content. The motion must be no more than four
44	pages, not including permitted attachments, and must include in the following
45	<u>order:</u>
46	(b)(1)(A) the relief sought and the grounds for the relief sought stated
47	succinctly and with particularity;
48	(b)(1)(B) a certification that the requesting party has in good faith
49	conferred or attempted to confer with the other affected parties in an effort
50	to resolve the dispute without court action;
51	(b)(1)(C) a statement regarding proportionality under Rule 26(b)(2); and
52	(b)(1)(D) if the motion is a motion for extraordinary discovery, a statement
53	certifying that the party has reviewed and approved a discovery budget.
54	(b)(2) Response length and content. No more than 7 days after the moving
55	party has filed the motion, the non-moving party may file a response. The
56	response must be no more than four pages, not including permitted attachments,
57	and must [address the issues raised in the motion] include in the following order:
58	(b)(2)(A) a succinct statement regarding the relief sought and the grounds
59	for the relief sought; and
60	(b)(2)(B) a statement regarding proportionality under Rule 26(b)(2).

(b)(3) Attachments. Unless required by law the moving party and responding 61 62 party must attach only a copy of the request for discovery, the disclosure, or the response at issue and a proposed order. 63 64 (b)(4) **Decision.** Upon filing of the response or expiration of the time to do so, either party may and the moving party must file a Request to Submit for Decision 65 under Rule 7(d). The court will promptly decide the motion. The court may decide 66 the motion on the pleadings and papers unless the court schedules a hearing. 67 68 The hearing may be by telephone conference or other electronic communication. 69 The court may order additional briefing and establish a briefing schedule. 70 (c) **Orders.** The court may make orders regarding disclosure or discovery or to 71 protect a party or person from discovery being conducted in bad faith or from 72 annoyance, embarrassment, oppression, or undue burden or expense, or to achieve 73 proportionality under Rule 26(b)(2), including one or more of the following: 74 (c)(1) that the discovery not be had; 75 (c)(2) that the discovery may be had only on specified terms and conditions. 76 including a designation of the time or place; 77 (c)(3) that the discovery may be had only by a method of discovery other than 78 that selected by the party seeking discovery; 79 (c)(4) that certain matters not be inquired into, or that the scope of the discovery 80 be limited to certain matters: 81 (c)(5) that discovery be conducted with no one present except persons 82 designated by the court; 83 (c)(6) that a deposition after being sealed be opened only by order of the court; 84 (c)(7) that a trade secret or other confidential information not be disclosed or be 85 disclosed only in a designated way; 86 (c)(8) that the parties simultaneously file specified documents or information 87 enclosed in sealed envelopes to be opened as directed by the court: 88 (c)(9) that a question about a statement or opinion of fact or the application of law 89 to fact not be answered until after designated discovery has been completed or until 90 a pretrial conference or other later time; or

91 (c)(10) that the costs, expenses and attorney fees of discovery be allocated 92 among the parties as justice requires. 93 (c)(11) If a protective order terminates a deposition, it shall may be resumed only 94 upon the order of the court in which the action is pending. 95 (d) Expenses and sanctions for motions. If the motion to compel or for a 96 protective order is granted or denied, or if a party provides disclosure or discovery or 97 withdraws a disclosure or discovery request after a motion is filed, the court may order the party, witness or attorney to pay the reasonable expenses and attorney fees 98 99 incurred on account of the motion if the court finds that the party, witness, or attorney 100 did not act in good faith or asserted a position that was not substantially justified. A 101 motion to compel or for a protective order does not suspend or toll the time to complete 102 standard discovery. 103 (e) Failure to comply with order. 104 (e)(1) Sanctions by court in district where deposition is taken. Failure to follow an 105 order of the court in the district in which the deposition is being taken or where the 106 document subpoena was served is contempt of that court. 107 (e)(2) Sanctions by court in which action is pending. Unless the court finds that 108 the failure was substantially justified, the court in which the action is pending may 109 impose appropriate sanctions for the failure to follow its orders, including the 110 following: 111 (e)(2)(A) deem the matter or any other designated facts to be established in 112 accordance with the claim or defense of the party obtaining the order; 113 (e)(2)(B) prohibit the disobedient party from supporting or opposing 114 designated claims or defenses or from introducing designated matters into 115 evidence; 116 (e)(2)(C) stay further proceedings until the order is obeyed; 117 (e)(2)(D) dismiss all or part of the action, strike all or part of the pleadings, or 118 render judgment by default on all or part of the action; 119 (e)(2)(E) order the party or the attorney to pay the reasonable expenses,

including attorney fees, caused by the failure;

(e)(2)(F) treat the failure to obey an order, other than an order to submit to a physical or mental examination, as contempt of court; and
(e)(2)(G) instruct the jury regarding an adverse inference.

(f) Expenses on failure to admit. If a party fails to admit the genuineness of any document or the truth of any matter as requested under Rule 36, and if the party

- (f) **Expenses on failure to admit.** If a party fails to admit the genuineness of any document or the truth of any matter as requested under Rule 36, and if the party requesting the admissions proves the genuineness of the document or the truth of the matter, the party requesting the admissions may apply to the court for an order requiring the other party to pay the reasonable expenses incurred in making that proof, including reasonable attorney fees. The court shall must make the order unless it finds that:
 - (f)(1) the request was held objectionable pursuant to Rule 36(a);
 - (f)(2) the admission sought was of no substantial importance;

- (f)(3) there were reasonable grounds to believe that the party failing to admit might prevail on the matter;
 - (f)(4) that the request is not proportional under Rule 26(b)(2); or
 - (f)(5) there were other good reasons for the failure to admit.
- (g) Failure of party to attend at own deposition. The court on motion may take any action authorized by paragraph (e)(2) if a party or an officer, director, or managing agent of a party or a person designated under Rule 30(b)(6) or 31(a) to testify on behalf of a party fails to appear before the officer taking the deposition, after proper service of the notice. The failure to act described in this paragraph may not be excused on the ground that the discovery sought is objectionable unless the party failing to act has applied for a protective order under paragraph (b).
- (h) **Failure to disclose.** If a party fails to disclose a witness, document or other material, or to amend a prior response to discovery as required by Rule 26(d), that party shall may not be permitted to use the witness, document or other material at any hearing unless the failure to disclose is harmless or the party shows good cause for the failure to disclose. In addition to or in lieu of this sanction, the court on motion may take any action authorized by paragraph (e)(2).
- (i) **Failure to preserve evidence.** Nothing in this rule limits the inherent power of the court to take any action authorized by paragraph (e)(2) if a party destroys, conceals,

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alters, tampers with or fails to preserve a document, tangible item, electronic data or
other evidence in violation of a duty. Absent exceptional circumstances, a court may not
impose sanctions under these rules on a party for failing to provide electronically stored
information lost as a result of the routine, good-faith operation of an electronic
information system.
Advisory Committee Notes
[Add to existing notes]
Paragraph (c) adopts the expedited procedures for discovery motions formerly
approved by the Judicial Council. The expedited procedures are intended to be
complete, without the need to refer to Rule 7, unless the judge directs that Rule 7
applies.

Rule 56. Summary judgment.

(a) Motion for summary judgment or partial summary judgment. A party may move for summary judgment, identifying each claim or defense—or the part of each claim or defense—on which summary judgment is sought. The court shall-must_grant summary judgment if the movant shows that there is no genuine dispute as to any material fact and the movant is entitled to judgment as a matter of law. The court should state on the record the reasons for granting or denying the motion. The motion and memoranda must follow Rule 7 as supplemented below.

(a)(1) Instead of a statement of the facts under Rule 7(c)(2), a motion for summary judgment must contain a statement of material facts claimed not to be genuinely disputed. Each fact must be separately stated in numbered paragraphs and supported by citing to materials in the record under paragraph (c)(1) of this rule.

(a)(2) Instead of a statement of the facts under Rule 7(d)(2), a memorandum opposing the motion must include a verbatim restatement of each of the movant's facts that is disputed with an explanation of the grounds for the dispute supported by citing to materials in the record under paragraph (c)(1) of this rule. The memorandum may contain a separate statement of additional facts in dispute, which must be separately stated in numbered paragraphs and similarly supported.

(a)(3) The motion and the memorandum opposing the motion may contain a concise statement of facts and allegations for the limited purpose of providing background and context for the case, dispute, and motion. The statement of facts or allegations may cite supporting evidence.

(a)(4) Each fact set forth in the motion or in the memorandum opposing the motion that is not disputed is deemed admitted for the purposes of the motion.

- (b) **Time to file a motion.** A party may file a motion for summary judgment at any time until 30 days after the close of all discovery.
 - (c) **Procedures.**
 - (c)(1) **Supporting factual positions**. A party asserting that a fact cannot be genuinely disputed or is genuinely disputed must support the assertion by:

30	(c)(1)(A) citing to particular parts of materials in the record, including
31	depositions, documents, electronically stored information, affidavits or
32	declarations, stipulations (including those made for purposes of the motion only),
33	admissions, interrogatory answers, or other materials; or
34	(c)(1)(B) showing that the materials cited do not establish the absence or
35	presence of a genuine dispute, or that an adverse party cannot produce
36	admissible evidence to support the fact.
37	(c)(2) Objection that a fact is not supported by admissible evidence. A party
38	may object that the material cited to support or dispute a fact cannot be presented in
39	a form that would be admissible in evidence.
40	(c)(3) Materials not cited. The court need consider only the cited materials, but it
41	may consider other materials in the record.
42	(c)(4) Affidavits or declarations. An affidavit or declaration used to support or
43	oppose a motion must be made on personal knowledge, must set out facts that
44	would be admissible in evidence, and must show that the affiant or declarant is
45	competent to testify on the matters stated.
46	(d) When facts are unavailable to the nonmovant. If a nonmovant shows by
47	affidavit or declaration that, for specified reasons, it cannot present facts essential to
48	justify its opposition, the court may:
49	(d)(1) defer considering the motion or deny it;
50	(d)(2) allow time to obtain affidavits or declarations or to take discovery; or
51	(d)(3) issue any other appropriate order.
52	(e) Failing to properly support or address a fact. If a party fails to properly
53	support an assertion of fact or fails to properly address another party's assertion of fact
54	as required by Rule 56(c), the court may:
55	(e)(1) give an opportunity to properly support or address the fact;
56	(e)(2) consider the fact undisputed for purposes of the motion;
57	(e)(3) grant summary judgment if the motion and supporting materials—including
58	the facts considered undisputed—show that the movant is entitled to it; or
59	(e)(4) issue any other appropriate order.

(f) **Judgment independent of the motion.** After giving notice and a reasonable time to respond, the court may:

- (f)(1) grant summary judgment for a nonmovant;
- (f)(2) grant the motion on grounds not raised by a party; or
- (f)(3) consider summary judgment on its own after identifying for the parties material facts that may not be genuinely in dispute.
- (g) **Failing to grant all the requested relief.** If the court does not grant all the relief requested by the motion, it may enter an order stating any material fact—including an item of damages or other relief—that is not genuinely in dispute and treating the fact as established in the case.
- (h) Affidavit or declaration submitted in bad faith. If satisfied that an affidavit or declaration under this rule is submitted in bad faith or solely for delay, the court—after notice and a reasonable time to respond—may order the submitting party to pay the other party the reasonable expenses, including attorney's fees, it incurred as a result.

 An The court may also hold an offending party or attorney may also be held in contempt or subjected to order other appropriate sanctions.

Advisor Committee Notes

The object of the 2014 amendment is to adopt the style amendments of Federal Rule of Civil Procedure 56 without changing the substantive Utah law. The 2014 amendment also moves to this rule the special briefing requirements of motions for summary judgment formerly found in Rule 7.

Nothing in these changes should be interpreted as changing the line of Utah cases that the party with the burden of proof on an issue must meet its initial burden to present materials in the record establishing that no genuine issue of material fact exists and that the party with the burden of proof is entitled to judgment as a matter of law. Only then must the party without the burden of proof demonstrate that there is a genuine dispute as to a material fact. Orvis v. Johnson, 2008 UT 2, Harline v. Barker, 912 P.2d 433 (Utah 1996), K & T, Inc. v. Koroulis, 888 P.2d 623, (Utah 1994)—contrary to the holding in Celotex Corp. v. Catrett, 477 U.S. 317, 106 S. Ct. 2548, 91 L. Ed. 2d 265 (1986).

Rule 56. Summary judgment.

(a) **For claimant.** A party seeking to recover upon a claim, counterclaim or cross-claim or to obtain a declaratory judgment may, at any time after the expiration of 20-21 days from the commencement of the action or after service of a motion for summary judgment by the adverse party, move for summary judgment upon all or any part thereof.

- (b) **For defending party.** A party against whom a claim, counterclaim, or cross-claim is asserted or a declaratory judgment is sought, may, at any time, move for summary judgment as to all or any part thereof.
- (c) **Motion and proceedings thereon.** The motion, memoranda and affidavits shall be in accordance with Rule 7. The judgment sought shall be rendered if the pleadings, depositions, answers to interrogatories, and admissions on file, together with the affidavits, if any, show that there is no genuine issue as to any material fact and that the moving party is entitled to a judgment as a matter of law. An interlocutory summary judgment, interlocutory in character, may be rendered on the issue of liability alone although there is a genuine issue as to the amount of damages. The motion and memoranda must follow Rule 7 as supplemented below.

(c)(1) Instead of a statement of the facts under Rule 7(c)(2), a motion for summary judgment must contain a statement of material facts claimed not to be genuinely disputed. Each fact must be separately stated in numbered paragraphs and supported by citing to relevant materials, such as affidavits, declarations, stipulations, admissions, discovery or other materials.

(c)(2) Instead of a statement of the facts under Rule 7(d)(2), an opposing party must include in its initial memorandum a verbatim restatement of each of the moving party's facts that is disputed with an explanation of the grounds for the dispute supported by citing to relevant materials, such as affidavits, declarations, stipulations, admissions, discovery or other materials. The opposing party's initial memorandum may contain a separate statement of additional facts in dispute, which must be separately stated in numbered paragraphs and similarly supported.

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(c)(3) The motion and memorandum opposing the motion may contain a concise statement of facts and allegations for the limited purpose of providing background and context for the case, dispute, and motion. The statement of facts or allegations may cite supporting evidence.

- (c)(4) Each fact set forth in the motion or memorandum opposing the motion that is not disputed is deemed admitted for the purposes of the motion.
- (d) Case not fully adjudicated on motion. If on motion under this rule judgment is not rendered upon the whole case or for all the relief asked and a trial is necessary, the court at the hearing of the motion, by examining the pleadings and the evidence before it and by interrogating counsel, shall if practicable ascertain what material facts exist without substantial controversy and what material facts are actually and in good faith controverted. It shall thereupon make an order specifying the facts that appear without substantial controversy, including the extent to which the amount of damages or other relief is not in controversy, and directing such further proceedings in the action as are just. Upon the trial of the action the facts so specified shall be deemed established, and the trial shall be conducted accordingly.
- (e) Form of affidavits; further testimony; defense required. Supporting and opposing affidavits shall be made on personal knowledge, shall set forth such facts as would be admissible in evidence, and shall show affirmatively that the affiant is competent to testify to the matters stated therein. Sworn or certified copies of all papers or parts thereof referred to in an affidavit shall be attached thereto or served therewith. The court may permit affidavits to be supplemented or opposed by depositions, answers to interrogatories, or further affidavits. When a motion for summary judgment is made and supported as provided in this rule, an adverse party may not rest upon the mere allegations or denials of the pleadings, but the response, by affidavits or as otherwise provided in this rule, must set forthspecific facts showing that there is a genuine issue for trial. Summary judgment, if appropriate, shall be entered against a party failing to file such a response.
- (f) When affidavits are unavailable. Should it appear from the affidavits of a party opposing the motion that the party cannot for reasons stated present by affidavit facts

essential to justify the party's opposition, the court may refuse the application for judgment or may order a continuance to permit affidavits to be obtained or depositions to be taken or discovery to be had or may make such other order as is just.

(g) **Affidavits made in bad faith.** If any of the affidavits presented pursuant to this rule are presented in bad faith or solely for the purpose of delay, the court shall forthwith order the party presenting them to pay to the other party the amount of the reasonable expenses which the filing of the affidavits caused, including reasonable attorney's fees, and any offending party or attorney may be adjudged guilty of contempt.

Rule 58A. Entry of judgment; abstract of judgment.	
(a) Separate document. Every judgment and amended judgment must be set out in	
a separate document, but, unless a separate document is requested by a party, a	
separate document is not required for an order disposing of a motion:	
(a)(1) for judgment under Rule 50(b);	
(a)(2) to amend or make additional findings under Rule 52(b);	
(a)(3) for a new trial, or to alter or amend the judgment, under Rule 59;	
(a)(4) for relief under Rule 60; or	
(a)(5) for attorney's fees under Rule 73.	
(a) Judgment upon the verdict of a jury. (b) Without the court's direction.	
Unless the court otherwise directs and subject to Rule 54(b), the prevailing party,	
without awaiting the court's direction, must promptly prepare the judgment when:	
(b)(1) the jury returns a general verdict;	
(b)(2) the court awards only costs or a sum certain; or	
(b)(3) the court denies all relief.	
the The clerk shall must promptly sign and file record the judgment upon the verdict	
of a jury in the register of actions.	
(c) Court's approval required. If there is the court grants relief not described in	
paragraph (b) or if the jury returns a special verdict or a general verdict accompanied by	
with answers to interrogatories returned by a jury questions, the court shall direct the	
appropriate must promptly approve the form of the judgment, which the clerk shall must	
promptly-sign and file record in the register of actions.	
(b) Judgment in other cases. (d) Judge's signature; judgment filed with the	
clerk. Except as provided in paragraphs (a) (b) and (f)(h) and Rule 55(b)(1), all	
judgments shall-must be signed by the judge and filed with the clerk.	
(c) (e) When judgment entered; recording.	
(e)(1) If a separate document is not required, A-a judgment is complete and shall	
be deemed is entered for all purposes, except the creation of a lien on real property,	
when it is signed and filed as provided in paragraphs (a) or (b) recorded in the	

30 register of actions. The clerk shall immediately record the judgment in the register of actions and the register of judgments. 31 32 (e)(2) If a separate document is required, a judgment is complete and is entered 33 for all purposes, except the creation of a lien on real property, when it is recorded in 34 the register of actions and the earlier of these events occurs: 35 (e)(a)(A) the judgment is set out in a separate document; or 36 (e)(2)(B) 150 days have run from the clerk recording the judgment in the 37 register of actions. 38 (d) (f) **Notice of judgment.** The party preparing the judgment shall must promptly 39 serve a copy of the signed judgment on the other parties in the manner provided in Rule 40 5 and promptly file proof of service with the court. Except as provided in Rule of 41 Appellate Procedure 4(g), the time for filing a notice of appeal is not affected by this 42 requirement. 43 (e) (g) Judgment after death of a party. If a party dies after a verdict or decision 44 upon any issue of fact and before judgment, judgment may nevertheless be entered. 45 (f) (h) **Judgment by confession.** If a judgment by confession is authorized by 46 statute, the party seeking the judgment must file with the clerk a statement, verified by 47 the defendant, to the following effect: 48 (f)(1)-(h)(1) If the judgment is for money due or to become due, it shall-must 49 concisely state the claim and that the specified sum is due or to become due. 50 (f)(2) (h)(2) If the judgment is for the purpose of securing the plaintiff against a 51 contingent liability, it must state concisely the claim and that the specified sum does 52 not exceed the liability. 53 $\frac{(f)(3)}{(h)(3)}$ It must authorize the entry of judgment for the specified sum. 54 The clerk shall must sign and file the judgment for the specified sum, with costs of 55 entry, if any, and record it in the register of actions and the register of judgments. 56 (g) (i) Abstract of judgment. The clerk may abstract a judgment by a signed writing 57 under seal of the court that:

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	(g)(1) (i)(1) identifies the court, the case name, the case number, the judge or
	clerk that signed the judgment, the date the judgment was signed, and the date the
	judgment was recorded in the registry of actions and the registry of judgments;
	(g)(2)-(1)(2) states whether the time for appeal has passed and whether an
	appeal has been filed;
	(g)(3)-(i)(3) states whether the judgment has been stayed and when the stay will
	expire; and
	(g)(4)-(i)(4) if the language of the judgment is known to the clerk, quotes verbatim
the	e operative language of the judgment or attaches a copy of the judgment.

1	Rule 7. Pleadings allowed; motions, memoranda, hearings, orders.
2	(a) Pleadings. There shall be Only these pleadings are allowed:
3	(a)(1) a complaint; and
4	(a)(2) an answer to a complaint;
5	(a)(3) a reply to a counterclaim an answer to a counterclaim designated as a
6	counterclaim;
7	(a)(4) an answer to a cross claim, if the answer contains a cross claim;
8	(a)(5) a third party complaint, if a person who was not an original party is
9	summoned under the provisions of Rule 14;and
10	(a)(6) a third party an answer to a third party complaint;, if a third party complaint
11	is served and
12	(a)(7) a reply to an answer if permitted by the court. No other pleading shall be
13	allowed, except that the court may order a reply to an answer or a third party
14	answer.
15	(b)(1) Motions. An application to the court for an order shall must be by motion
16	which, unless made during a hearing or trial or in proceedings before a court
17	commissioner, shall be made in accordance with this rule. A motion shall be in writing
18	and state succinctly and with particularity the relief sought and the grounds for the relief
19	sought except for the following, must be made in accordance with this rule.
20	(b)(1) A motion made during a hearing or trial may be made orally.
21	(b)(2) A motion made in proceedings before a court commissioner must follow the
22	procedures of Rule 101.
23	(b)(3) A motion under Rule 26 for extraordinary discovery must follow the
24	procedures of Rule 37(b).
25	(b)(4) A motion under Rule 37 for a protective order or for an order compelling
26	disclosure or discovery—but not a motion for sanctions—must follow the procedures
27	of Rule 37(b).
28	(b)(5) A motion under Rule 45 to quash a subpoena must follow the procedures
29	of Rule 37(b).

30	(b)(6) A motion for summary judgment must follow the procedures of this rule,
31	supplemented by the requirements of Rule 56.
32	(c) Form, name and content of motion. The rules governing captions and other
33	matters of form in pleadings apply to motions and other papers. The moving party must
34	title the motion with substantially the words: "Motion to [short phrase describing the
35	relief sought]." The motion must be 15 pages or less, not counting documents cited in
36	the motion. An approved over-length motion must contain a table of contents and a
37	table of authorities with page references. The motion must contain under appropriate
38	headings and in the following order:
39	(c)(1) a concise statement of the relief sought and the grounds for the relief
40	sought;
41	(c)(2) a concise statement of the facts as claimed by the party necessary for a
42	decision;
43	(c)(3) an argument citing authority for the relief requested; and
44	(c)(4) relevant portions of documents cited in the motion, such as affidavits or
45	discovery materials or opinions, statutes or rules.
46	(d) Name and content of memorandum opposing the motion. Within 14 days
47	after the motion is filed, a party opposing the motion must file a memorandum in
48	opposition. The party opposing the motion must title the memorandum with substantially
49	the words: "Memorandum opposing the motion to [short phrase describing the relief
50	sought]." The memorandum must be 15 pages or less, not counting objections to
51	evidence and documents cited in the memorandum. An approved over-length
52	memorandum must contain a table of contents and a table of authorities with page
53	references. The memorandum must contain under appropriate headings and in the
54	following order:
55	(d)(1) a concise statement of the grounds for opposing the relief sought;
56	(d)(2) a concise statement of the facts as claimed by the party necessary for a
57	decision;
58	(d)(3) an argument citing authority opposing the relief requested;
59	(d)(4) objections to evidence in the motion, citing authority for the objection; and

60	(d)(5) relevant portions of documents cited in the memorandum, such as	
61	affidavits or discovery materials or opinions, statutes or rules.	
62	(e) Name and content of reply memorandum. Within 7 days after the	
63	memorandum opposing the motion is filed, the moving party may file a reply	
64	memorandum, which must be limited to rebuttal of new matters raised in the	
65	memorandum opposing the motion. The memorandum must be titled "Memorandum	
66	replying to the memorandum opposing the motion to [short phrase describing the relief	
67	sought]." The memorandum must be 5 pages or less, not counting objections to	
68	evidence, response to objections, and documents cited in the memorandum. The	
69	memorandum must contain under appropriate headings and in the following order:	
70	(e)(1) a concise statement of the new matter raised in the memorandum	
71	opposing the motion;	
72	(e)(2) an argument citing authority rebutting the new matter raised in the	
73	memorandum opposing the motion;	
74	(e)(3) objections to evidence in the memorandum opposing the motion, citing	
75	authority for the objection; and	
76	(e)(4) response to objections made in the memorandum opposing the motion,	
77	citing authority for the response;	
78	(e)(5) relevant portions of documents cited in the memorandum, such as	
79	affidavits or discovery materials or opinions, statutes or rules.	
80	(f) Response to objections made in the reply memorandum. If the reply	
81	memorandum includes an objection to evidence, the non-moving party may file a	
82	response to the objection no later than 7 days after the reply memorandum is filed.	
83	(b)(2) Limit on order to show cause. An application to the court for an order to	
84	show cause shall be made only for enforcement of an existing order or for sanctions	
85	for violating an existing order. An application for an order to show cause must be	
86	supported by an affidavit sufficient to show cause to believe a party has violated a	
87	court order.	
88	(c) Memoranda.	

(c)(1) Memoranda required, exceptions, filing times. All motions, except uncontested or ex parte motions, shall be accompanied by a supporting memorandum. Within ten days after service of the motion and supporting memorandum, a party opposing the motion shall file a memorandum in opposition. Within five days after service of the memorandum in opposition, the moving party may file a reply memorandum, which shall be limited to rebuttal of matters raised in the memorandum in opposition. No other memoranda will be considered without leave of court. A party may attach a proposed order to its initial memorandum.

(c)(2) **Length.** Initial memoranda shall not exceed 10 pages of argument without leave of the court. Reply memoranda shall not exceed 5 pages of argument without leave of the court. The court may permit a party to file an over-length memorandum upon ex parte application and a showing of good cause.

(c)(3) Content.

(c)(3)(A) A memorandum supporting a motion for summary judgment shall contain a statement of material facts as to which the moving party contends no genuine issue exists. Each fact shall be separately stated and numbered and supported by citation to relevant materials, such as affidavits or discovery materials. Each fact set forth in the moving party's memorandum is deemed admitted for the purpose of summary judgment unless controverted by the responding party.

(c)(3)(B) A memorandum opposing a motion for summary judgment shall contain a verbatim restatement of each of the moving party's facts that is controverted, and may contain a separate statement of additional facts in dispute. For each of the moving party's facts that is controverted, the opposing party shall provide an explanation of the grounds for any dispute, supported by citation to relevant materials, such as affidavits or discovery materials. For any additional facts set forth in the opposing memorandum, each fact shall be separately stated and numbered and supported by citation to supporting materials, such as affidavits or discovery materials.

118 (c)(3)(C) A memorandum with more than 10 pages of argument shall contain a table of contents and a table of authorities with page references. 119 120 (c)(3)(D) A party may attach as exhibits to a memorandum relevant portions of 121 documents cited in the memorandum, such as affidavits or discovery materials. 122 (d) (g) Request to submit for decision. When briefing is complete or the time for 123 briefing has expired, either party may and the moving party must file a "Request to 124 Submit for Decision." The request to submit for decision shall-must state the date on 125 which the motion was served filed, the date the opposing memorandum, if any, was 126 served filed, the date the reply memorandum, if any, was-served filed, and whether a 127 hearing has been requested. If no party files a request, the motion will not be submitted 128 for decision. 129 (e) (h) **Hearings.** The court may hold a hearing on any motion. A party may request 130 a hearing in the motion, in a memorandum or in the request to submit for decision. A 131 request for hearing shall-must be separately identified in the caption of the document 132 containing the request. The court shall-must grant a request for a hearing on a motion 133 under Rule 56 or a motion that would dispose of the action or any claim or defense in 134 the action unless the court finds that the motion or opposition to the motion is frivolous 135 or the issue has been authoritatively decided. 136 (i) Citation of supplemental authority. A party may file notice of citations to 137 significant authority that comes to the party's attention after the party's memorandum 138 has been filed or after oral argument but before decision. The notice must state, without 139 argument, the reason for the citations and the page of the memorandum or the point 140 argued orally to which the citations apply. Any other party may file a response promptly. 141 The response must be similarly limited. 142 (f) (j) Orders. 143 (f)(1) An order includes every direction of the court, including a minute order 144 entered in writing, not included in a judgment. An order for the payment of money 145 may be enforced in the same manner as if it were a judgment. Except as otherwise 146 provided by these rules, any order made without notice to the adverse party may be

147 vacated or modified by the judge who made it with or without notice. Orders shall 148 state whether they are entered upon trial, stipulation, motion or the court's initiative. (f)(2) Unless the court approves the proposed order submitted with an initial 149 150 memorandum, or unless otherwise directed by the court, the prevailing party shall, within fifteen days after the court's decision, serve upon the other parties a proposed 151 152 order in conformity with the court's decision. Objections to the proposed order shall 153 be filed within five days after service. The party preparing the order shall file the 154 proposed order upon being served with an objection or upon expiration of the time to 155 object. 156 (f)(3) Unless otherwise directed by the court, all orders shall be prepared as 157 separate documents and shall not incorporate any matter by reference. 158 (j)(1) Signed, written decision is an order. A written decision of the court 159 signed by a judge however denominated—including "order," "ruling," "memorandum 160 decision," "opinion," or "minute entry"—is an order. An order must state whether it is 161 entered upon trial, stipulation, motion or the court's initiative. Unless otherwise directed by the court, an order must be prepared as a separate document and must 162 163 not incorporate any matter by reference. 164 (i)(2) **Appealable orders.** The order is a final judgment that can be appealed if it 165 satisfies Rule 54(b) and Rule 58A. (i)(3) Order to pay money. An order for the payment of money can be enforced 166 167 in the same manner as if it were a judgment. 168 (j)(4) Ex parte orders. Except as otherwise provided by these rules, an order 169 made without notice to the other parties can be vacated or modified by the judge 170 who made it with or without notice. 171 (i)(5) Preparing and serving a proposed order. Within 14 days after the court's 172 decision the prevailing party must prepare a proposed order conforming to the 173 decision and serve the proposed order on the other parties for review and approval 174 as to form. The court may direct that a party other than the prevailing party prepare and serve the proposed order. The court may prepare and serve the order. If the 175

176	prevailing party or party assigned to prepare the order fails to serve a proposed
177	order within 14 days, any party may prepare and serve a proposed order.
178	(j)(6) Approval as to form. A party's approval as to the form of a proposed order
179	certifies that the proposed order accurately reflects the court's direction. Approval as
180	to form does not waive any objections.
181	(j)(7) Objecting to a proposed order. A party may object to the form of the
182	proposed order by filing an objection within 7 days after the order is served.
183	(j)(8) Filing proposed order. The party preparing a proposed order must file it:
184	(i)(8)(A) after the order has been approved as to form by all parties; (The
185	party preparing the proposed order must indicate whether the approval was
186	received in person, by telephone, by signature, by email or by other means.)
187	(j)(8)(B) after the time to file an objection to the form of the order has expired;
188	(The party preparing the proposed order must also file a certificate of service of
189	the proposed order conforming to Rule 5.) or
190	(j)(8)(B) within two days after a party has filed an objection to the form of the
191	order. (The party preparing the proposed order may also file a response to the
192	objection.)
193	(j)(9) Proposed orders prohibited; exceptions. A party must not file a proposed
194	order concurrently with a motion or memorandum or with a request to submit for
195	decision, except a proposed order must be filed with the following motions:
196	(j)(9)(A) an ex parte motion;
197	(j)(9)(B) a stipulated or unopposed motion; and
198	(j)(9)(C) a discovery motion with expedited procedures under Rule 37(b).
199	(k) Motion in opposing memorandum or reply memorandum prohibited. A party
200	must not make a motion in a memorandum opposing a motion or in a reply
201	memorandum. A party who objects to evidence in another party's motion or
202	memorandum must not file a motion to strike that evidence. The proper procedure is to
203	include in the subsequent memorandum an objection to the evidence.

204	(I) Over-length motion or memorandum. The court may permit a party to file an
205	over-length motion or memorandum upon ex parte motion and a showing of good
206	<u>cause.</u>
207	(m) Limited statement of facts and authority. No statement of facts and legal
208	authorities beyond the concise statement of the relief sought and the grounds for the
209	relief sought required in paragraph (c) is required for the following motions:
210	(m)(1) motion to allow an over-length motion or memorandum;
211	(m)(2) motion to extend the time to perform an act, if the motion is filed before the
212	time to perform the act has expired;
213	(m)(3) motion to continue a hearing;
214	(m)(4) motion to appoint a guardian ad litem;
215	(m)(5) motion to substitute parties;
216	(m)(6) motion to refer the action to or withdraw it from the court's ADR program;
217	(m)(7) motion for a settlement conference; and
218	(m)(8) motion to approve a stipulation of the parties.
219	(n) Limit on order to show cause. An application to the court for an order to show
220	cause must be filed only for enforcement of an existing order or for sanctions for
221	violating an existing order. An application for an order to show cause must be supported
222	by an affidavit sufficient to show cause to believe a party has violated a court order.
223	Advisory Committee Notes
224	[Add to existing notes]
225	The purpose of the 2014 amendments is to:
226	(1) combine a motion and its supporting memorandum in one document, as in the
227	federal court;
228	(2) eliminate motions to strike evidence relied upon to support or oppose a motion;
229	(3) substantially reduce proposed orders;
230	(4) bring regularity to motion practice; and
231	(5) return the analysis of whether an appeal from an order is proper to the traditional
232	analysis under Rule 54 and Rule 58A, notwithstanding the holdings in:
233	Central Utah Water Conservancy District v. King, 2013 UT 13;

234	Giusti v. Sterling Wentworth Corp., 2009 UT 2; and
235	Code v. Utah Dept of Health, 2007 UT 43, and.

Rule 7.